

ASIT C. MEHTA FINANCIAL SERVICES LTD.

Registered Office: 'Nucleus House', Saki Vihar Road, Andheri (East), Mumbai 400072. • Tel.: 022 - 28570781 / 28583333

Email id: accounts@nucleusservices.com • CIN: L65900MH1984PLC091326

Website: www.acmfsl.com Email id: investorgrievance@acmfsl.co.in

Quarterly Compliance Report on Corporate Governance

Name of the Company: Asit C. Mehta Financial Services Limited

Quarter ending on: September 30, 2015

Particulars	Clause of Listing Agreement	Compliance Status Yes/No/N.A	Remarks
II. Board of Directors	49(II)		
(A) Composition of Board	49 (II A)	Yes	-----
(B) Independent Director	49 (II B)	Yes	-----
(C) Non-executive Directors Compensation & Disclosure	49 (II C)	Yes	-----
(D) Other Provisions as to Board and Committees	49 (II D)	Yes	-----
(E) Code of Conduct	49 (II E)	Yes	Annual affirmation of compliance with the Code of Conduct disclosed in the Annual Report of 2014-15
(F) Whistle Blower Policy	49 (II F)	Yes	-----
III. Audit Committee	49 (III)		
(A) Qualified & Independent Audit Committee	49 (III A)	Yes	-----
(B) Meeting of Audit Committee	49 (III B)	Yes	-----
(C) Powers of Audit Committee	49 (III C)	Yes	-----
(D) Role of Audit Committee	49 (III D)	Yes	-----
(E) Review of Information of Audit Committee	49 (III E)	Yes	-----
IV. Nomination and Remuneration Committee	49 (IV)	Yes	-----
V. Subsidiary Companies	49 (V)	Yes	-----
VI. Risk Management	49 (VI)	Yes	-----
VII. Related Party Transaction	49 (VII)	Yes	-----
VIII. Disclosures	49 (VIII)	Yes	
(A) Related Party Transactions	49 (VIII A)	Yes	-----
(B) Disclosures of Accounting Treatment	49 (VIII B)	Yes	-----
(C) Remuneration of Directors	49 (VIII C)	N. A.	Only sitting fees are paid to the Directors and the details are disclosed in the Annual Report of 2014-15
(D) Management	49 (VIII D)	Yes	The Management Discussion and Analysis forms a part of the Annual Report 2014-15
(E) Shareholders	49 (VIII E)	Yes	The same is disclosed in the Annual Report 2014-15.
(F) Proceeds from Public issues, rights issues, preferential issues	49 (VIII F)	N. A.	Not applicable as the Company had not come out with any such issues



ASIT C. MEHTA FINANCIAL SERVICES LTD.

Registered Office: 'Nucleus House', Saki Vihar Road, Andheri (East), Mumbai 400072. • Tel.: 022 - 28570781 / 28583333

Email id: accounts@nucleusservices.com • CIN: L65900MH1984PLC091326

Website: www.acmfsl.com Email id: investorgrievance@acmfsl.co.in

IX. CEO/CFO Certification	49 (IX)	Yes	Disclosure in Annual Report 2014-15
X. Report on Corporate Governance	49 (X)	Yes	The same forms a part of the Annual Report 2014-15
XI. Compliances	49 (XI)	Yes	Disclosed in the Annual Report of 2014-15

For Asit C. Mehta Financial Services Limited

K.J. Shah
Kavita Shah
Company Secretary

